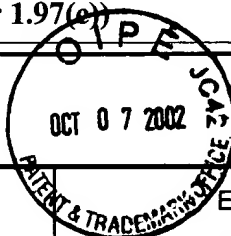


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
YOR920000768US1(14069)

In Re Application Of: Debra L. Biebesheimer, et al.



10-16-02

Serial No.

09/778,378

Filing Date

February 7, 2001

Examiner

Unknown

Group Art Unit

2171

Title: CUSTOMER SELF SERVICE SUBSYSTEM FOR CLASSIFYING USER CONTEXTS

**RECEIVED**

OCT 09 2002

Technology Center 2100

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
YOR920000768US1(1406A)

In Re Application: **Debra L. Biebesheimer, et al**

OCT 07 2002

Serial No.

09/778,378

Filing Date

February 7, 2001

Examiner

Unknown

Group Art Unit

2171

**CUSTOMER SELF SERVICE SUBSYSTEM FOR CLASSIFYING USER CONTEXTS**

**RECEIVED**

OCT 09 2002

**Payment of Fee**

**Technology Center 2100**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

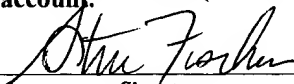
**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
10/04/2002 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Mishelle Mustafa  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: **October 4, 2002**

**Steven Fischman**

**Registration No. 34,594**

**SCULLY, SCOTT, MURPHY & PRESSER**

**400 Garden City Plaza**

**Garden City, New York 11530**

**(516) 742-4343**

CC:



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Debra L. Biebesheimer, et al.

**Examiner:** Unknown

**Serial No.:** 09/778,378

**Art Unit:** 2171

**Filed:** February 7, 2001

**Docket:** YOR920000766US1(14069)

**For:** CUSTOMER SELF SERVICE SUBSYSTEM  
FOR CLASSIFYING USER CONTEXTS

**Dated:** October 4, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

**RECEIVED**  
OCT 09 2002  
Technology Center 2100

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

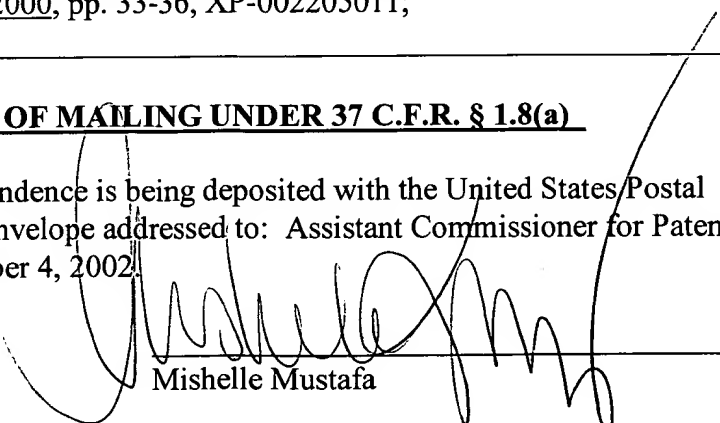
1. U.S. Patent No. 5,446,891, issued August 29, 1995 to Kaplan, et al.;
2. U.S. Patent No. 5,826,260, issued October 20, 1998 to Byrd, Jr., et al.;
3. Billsus, D., et al., "A learning agent for wireless news access," Proceedings of IUI 2000: International Conference on Intelligent User Interfaces, ACM, 9-12 January 2000, pp. 33-36, XP-002205011;

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on October 4, 2002.

Dated: October 4, 2002

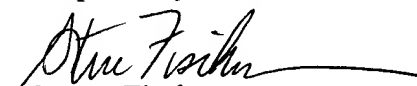
  
Mishelle Mustafa

4. Olsen, K., et al., "VISUALIZATION OF A DOCUMENT COLLECTION: THE VIBE SYSTEM", Information Processing & Management, Elsevier, Barking, GB, vol. 29, no. 1, 1993, pp. 69-81, XP 000574984;
5. Mladenic, D, "Text-learning and related intelligent agents: a survey", IEEE INTELLIGENT SYSTEMS, IEEE, vol. 14, no. 4, July 1999 (1999-07), pp. 44-54, XP-002205012;
6. Göker, A., "Capturing Information Need by Learning User Context", 16<sup>TH</sup> INTERNATIONAL JOINT CONFERENCE IN ARTIFICIAL INTELLIGENCE: LEARNING ABOUT USER WORKSHOP, 31 July 1999 (1999-07-31), pp. 21-27, XP-002205013;
7. ANONYMOUS, "Taxonomized Web Search", IBM TECHNICAL DISCLOSURE BULLETIN, IBM CORP. NEW YORK, US, vol. 40, no. 5, 1 May 1997 (1997-05-01), pp. 195-196, XP-002133594; and
8. Davies, J., et al., "KNOWLEDGE DISCOVERY AND DELIVERY", BRITISH TELECOMMUNICATIONS ENGINEERING, LONDON, GB, VOL. 17, NO. 1, 1 April 1998 (1998-04-01), pp. 25-35, XP-000765546.

The references were cited in a Search Report received from the European Patent Office, dated July 23, 2002, however, are being submitted before issuance of a first Office Action on the merits per 37 C.F.R. § 1.97(b)(3). Applicants are submitting a copy of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references have been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no petition, certification or fee is required.

Respectfully submitted,

  
Steven Fischman  
Registration No. 34,594

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343  
SF:gc